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## RESEARCH ARTICLE

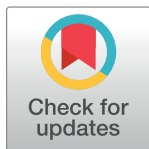
# Thinness negatively affects lung function among Sri Lankan children

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## Abstract

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## Background

There have been conflicting findings on the effect of body mass index (BMI) on lung functions in children. Therefore, we studied the relationship between spirometry parameters and BMI among healthy Sri Lankan school children aged 5–7 years.

## Methods

A cross-sectional study was conducted among 296 school children (5–7-year-old) without apparent lung disease. Recruitment was done with stratified random sampling. Spirometry parameters, FEV<sub>1</sub>, FVC, PEFR, and FEV<sub>1</sub>/FVC ratio were determined. The acceptable and reproducible spirometry recordings were included in the analysis. Simple and multivariate linear regression analysis examined possible associations of lung function parameters with BMI, socio-demographic variables and indoor risk factors. Also, the mediator effect of gender on lung function through BMI was explored.

## Results

The participants' mean age (SD) was 6.4 (0.65) years. One-third were thin/severely thin (37%). A statistically significant difference in FVC ( $p = 0.001$ ) and FEV<sub>1</sub> ( $p = 0.001$ ) was observed between BMI groups (obesity/overweight, normal, and thinness). Yet, PEFR or FEV<sub>1</sub>/FVC did not significantly differ among BMI groups ( $p = 0.23$  and  $p = 0.84$ ). Multivariate regression analysis showed that FEV<sub>1</sub> and FVC were significantly associated with BMI, child's age, gender, family income, father's education, having a pet, and exposure to mosquito coil smoke. Interaction between gender and BMI for lung functions was not significant. The thin children had significantly lower FVC (OR: -0.04, 95%CI: -0.077, -0.012,  $p = 0.008$ ) and FEV<sub>1</sub> (OR: -0.04, 95%CI: -0.075, -0.014,  $p = 0.004$ ) than normal/overweight/obese

children. Family income demonstrated the greatest effect on lung functions; FVC and FEV<sub>1</sub> were 0.25L and 0.23L smaller in low-income than the high-income families.

## Conclusion

Lower lung function parameters (FVC and FEV<sub>1</sub>) are associated with thinness than normal/overweight/obese dimensions among children without apparent lung disease. It informs that appropriate nutritional intervention may play a role in improving respiratory health.

## Introduction

Undernutrition is a major health concern in low-and middle-income countries. In these same countries, childhood overweight and obesity rates are also rising [1]. Naotunna et al., reported 33.5% and 4.5% of thinness (BMI z-score for age <-2SD) and overweight/obesity (BMI z-score for age >+1SD) [2, 3] in 6-7-year-old Sri Lankan children.

Plentiful scientific evidence supports the connections between obesity and respiratory and non-respiratory disorders [4, 5]. Low weight, too, is associated with increased respiratory morbidity [6, 7]. Due to respiratory tract infections, the risk of being hospitalized is more in underweight or morbidly obese individuals [8]. Many studies have explored the connection between obesity and lung function; however, very few have reported the impact of thinness on lung function [9–14]. Further, the findings of those studies are inconsistent, probably due to varying study designs, geographic locations, and socio-cultural differences among the study participants. Also, not many studies have investigated determinants of lung function in pre-pubertal children [12]. Therefore, the connection between low BMI and lung function in young children remains poorly understood. In the Sri Lankan context, the effect of BMI and other factors (viz. sociodemographic, indoor risk factors) on lung function has not been studied in children less than eight years [14]. We hypothesized that BMI affects lung function in children. Therefore, our main objective was to study the relationship between spirometry parameters and BMI among healthy Sri Lankan school children aged 5–7 years.

## Materials and methods

### Study design, setting, and participants

A cross-sectional study was conducted from February to August 2019, involving healthy school children aged 5 to 7 years in 15 schools in the Colombo district. A two-stage stratified sampling technique was used. The minimum sample size was 290. The expected proportion of children with acceptable spirometry curves for sample calculation was 78% [15]. Also, a level of precision of 0.05, a confidence interval of 0.05, and a non-response rate of 10% were considered in the calculation. Then, stratified by age and gender, one class from each grade was randomly selected from each school (proportionate to size). Both children and parents were informed about the study procedure, and the written consent of parents was obtained. Children with acute respiratory symptoms at the time of sample collection or in the previous two weeks, chronic respiratory disorders, neurological disorders, or other significant chronic illnesses were excluded from the study.

### Procedure

The parents/caregivers completed a pre-tested, self-administered questionnaire that gathered socio-demographic details (age, gender, mother's and father's educational attainment, and

household income), indoor risk factors (cigarette smoke, mosquito coils, having pets) and general health, including respiratory health (S1 File). After that, all children underwent physical examination and spirometry measurements at the school premises. Height was measured using a stadiometer (Seca GmbH & Co. KG), and the measurements were taken to the nearest 0.1 cm without shoes or socks. Weight measured in kilograms and grams to the nearest 100g electronic flat weighing scale (Seca GmbH & Co. KG), wearing lightweight clothing. The BMI was calculated as  $[\text{weight (kg)}/\text{height (m}^2\text{)}]$  and categorized according to the World Health Organization Growth Standards [Z scores for obesity  $>+2\text{SD}$ , overweight  $+2\text{SD}$  to  $>+1\text{SD}$ , Normal  $+1\text{SD}$  to  $-2\text{SD}$ , thinness  $<-2\text{SD}$ ] [3].

The spirometry procedure followed the American Thoracic Society (ATS) guidelines [16] using a microQuark PC-based spirometer (COSMED, Italy). The spirometry procedure was conducted by the same investigator (NS). A bacterial-viral filter and a disposable mouthpiece were used for each child. The following parameters were assessed; forced vital capacity (FVC), forced expiratory volume in the first second (FEV<sub>1</sub>), peak expiratory flow rate (PEFR), and FEV<sub>1</sub>/FVC ratio. The machines were calibrated using a standard three-liter syringe at the examination site each day prior to taking measurements. All children were given clear instructions prior to testing. A nose clip was used to occlude the nostrils. They were all tested in the standing position. The children were asked to take tidal breaths initially, then take a deep breath filling the chest, and blow out fast, hard, and as long as possible. An animation program on the computer screen was used to encourage the children. A maximum of five attempts was allowed. They were allowed to discontinue more than five attempts or earlier if the child was not interested in continuing. All measurements were performed by one investigator using the same equipment. Two authors of this study analyzed the tests for acceptability and reproducibility (LA and GL).

### Statistical analysis

Statistical analysis was done using SPSS version 22. Extreme outliers were identified, and data were checked for normality. The extreme outliers in lung function variables (FEV<sub>1</sub>, FVC, PEF, weight, and BMI) due to transcription errors that could not be resolved were removed. Descriptive statistics were expressed as mean (standard deviation) and percentages where appropriate. Pearson correlation was used to assess the correlation between BMI (as a continuous variable) and lung function test parameters. Associations of BMI groups (thinness, normal, and overweight/obese) with lung function were performed using one-way ANOVA and the Tukey test. Multivariate regression assessed the association between lung function parameters and BMI groups (thinness vs. normal/overweight/obese) as the predictor variable and other confounding variables (socio-demographic, indoor risk factors, etc.). Before multivariate regression analysis, variables with high multicollinearity were excluded (viz., mother's education). Residual and scatter plots indicated the assumptions of normality and homoscedasticity.

### Ethical consideration

Ethics approval was obtained from the Ethics Review Committee of the Faculty of Medical Sciences, University of Sri Jayewardenepura. The study was carried out following the guidelines of the Declaration of Helsinki. Informed written consent was taken from the parent/guardian before data collection.

### Results

A total of 357 children were invited. Two hundred ninety-six technically acceptable flow-volume curves were included in the analysis. In 46 children, the spirometry curves did not comply

with ATS standards. There were 15 incomplete questionnaires. The response rate was 83%. Basic characteristics of the sample, including anthropometry and spirometry parameters, are shown in Table 1. The participants' mean age (SD) was 6.4 (0.65) years. Most were males (63.2%). Almost half (44%) were in the higher income category. More than two-thirds of the parents had either secondary or post-secondary education. Most children had normal BMI (55%), while one-third had thinness (37%).

Weak but significant positive correlations were found between BMI and FVC ( $r = 0.22$ ,  $p < 0.001$ ). A similar association was noted with FEV<sub>1</sub> ( $r = 0.23$ ,  $p < 0.001$ ) and PEFR ( $r = 0.15$ ,  $p = 0.02$ ). FEV<sub>1</sub>/FVC ratio did not show a significant association with BMI ( $p = 0.19$ ). Lung function parameters were positively correlated with age FVC ( $r = 0.31$ ,  $p < 0.001$ ), FEV<sub>1</sub> ( $r = 0.33$ ,  $p < 0.001$ ), PEFR ( $r = 0.30$ ,  $p < 0.001$ ), and FEV<sub>1</sub>/FVC ratio ( $r = 0.23$ ,  $p < 0.001$ ). As determined by one-way ANOVA, there was a statistically significant difference in FVC ( $p$ -

**Table 1. Basic characteristics of the participants.**

|                                     | n            | %    |
|-------------------------------------|--------------|------|
| Age (years), mean (SD)              | 6.4 (0.65)   | -    |
| Sex (males)                         | 187          | 63.2 |
| Birthweight (kg), mean (SD)         | 2.93         | -    |
| Mother's education                  |              |      |
| Less than secondary                 | 67           | 22.6 |
| Secondary                           | 115          | 38.9 |
| Post-secondary                      | 113          | 38.5 |
| Father's education                  |              |      |
| Less than secondary                 | 65           | 22   |
| Secondary                           | 123          | 41.6 |
| Post-secondary                      | 108          | 36.5 |
| *Family income (LKR)                |              |      |
| Low                                 | 166          | 56.1 |
| High                                | 130          | 43.9 |
| Having a pet                        | 78           | 26.4 |
| Exposure to passive smoking         | 41           | 13.9 |
| Using mosquito coils                | 112          | 37.8 |
| Family history of atopy             | 104          | 35.1 |
| Anthropometry                       |              |      |
| Height (m), mean (SD)               | 1.17 (0.1)   | -    |
| Weight (Kg), mean (SD)              | 18.96 (3.3)  | -    |
| BMI (kg/m <sup>2</sup> ), mean (SD) | 13.85 (1.80) | -    |
| BMI category Thinness               | 109          | 36.8 |
| Normal                              | 163          | 55   |
| Overweight/obese                    | 24           | 8.2  |
| Spirometry parameters               |              |      |
| FEV <sub>1</sub> (L), mean (SD)     | 0.99 (0.2)   | -    |
| FVC (L), mean (SD)                  | 1.12 (0.2)   | -    |
| PEFR (L/s), mean (SD)               | 2.54 (0.6)   | -    |
| FEV <sub>1</sub> /FVC, mean (SD)    | 0.89 (0.23)  | -    |
| FEV <sub>1</sub> /FVC% >70%         | 296          | 100  |

Expressed as n and %, unless otherwise indicated.

\*Low income <60,000 LKR and high income ≥60,000 LKR.

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**Table 2. Relationship between spirometry parameters and BMI groups.**

|                       | BMI category       |             |                   | P-value |
|-----------------------|--------------------|-------------|-------------------|---------|
|                       | Thin/severely thin | Normal      | Obese/ overweight |         |
| FEV <sub>1</sub> (L)  | 0.95 (0.20)        | 1.02 (0.19) | 1.08 (0.26)       | 0.001   |
| FVC (L)               | 1.07 (0.21)        | 1.14 (0.20) | 1.20 (0.27)       | 0.001   |
| PEFR (L/s)            | 2.46 (0.57)        | 2.58 (0.60) | 2.62 (0.73)       | 0.23    |
| FEV <sub>1</sub> /FVC | 0.90 (0.02)        | 0.90 (0.02) | 0.89 (0.03)       | 0.84    |

Comparisons using One-way ANOVA.

Abbreviations: BMI-Body mass index, FEV<sub>1</sub>-Forced expiratory volume in the first second, FVC-Forced vital capacity.

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0.001) and FEV<sub>1</sub> ( $p = 0.001$ ) between BMI groups (obesity/overweight, normal, and thinness) (Table 2). Yet, PEFR and FEV<sub>1</sub>/FVC were not significantly associated with BMI groups ( $p = 0.23$  and  $p = 0.84$ , respectively).

Tukey's post hoc test revealed that FVC was significantly low in the thinness group compared to the normal BMI ( $p = 0.02$ ) and obese/overweight groups ( $p = 0.002$ ). There was no statistically significant difference in FVC between the normal BMI group and the overweight/obese group ( $p = 0.10$ ). Likewise, FEV<sub>1</sub> was significantly low in the thinness group compared to the normal BMI ( $p = 0.04$ ) and obese/overweight ( $p = 0.001$ ) groups. There was no statistically significant difference in FEV<sub>1</sub> between the normal BMI group and the overweight/obese group ( $p = 0.06$ ). PEFR was not different between the thinness group and normal ( $p = 0.25$ ) or obese/overweight groups ( $p = 0.51$ ). Also, no difference was found in PEFR between the normal and obese/overweight groups ( $p = 0.97$ ). FEV<sub>1</sub>/FVC was not significantly different between thinness and overweight/obese ( $p = 0.88$ ) or normal groups ( $p = 0.87$ ). Similarly, there was no significant difference in FEV<sub>1</sub>/FVC between normal and overweight/obese groups ( $p = 0.97$ ).

Further analysis using linear regression was done to evaluate the effect of being thin on lung function parameters. Thus, subjects were divided into thinness and otherwise (normal/overweight/obese) groups. Univariate analysis of independent variables, including BMI, is given in the S1 File. All significant variables were considered in the multiple linear regression (age, gender, birth weight, mother's education, father's education, income, having pets, exposure to passive smoking, exposure to mosquito coils, and family history of atopy). However, the mother's education, exposure to passive smoking, and birth weight were not included as they did not improve the model significantly. The interaction effect of gender and BMI were considered in the regression model; however, it was not included in the final model as it did not significantly impact FVC or FEV<sub>1</sub>. The multivariate regression statistics are shown in Table 3. The thinness group had lower FEV<sub>1</sub> and FVC than the normal/overweight/obese group. In children from low-income families, FVC and FEV<sub>1</sub> were 0.25L and 0.23L lower than those from high-income families. Similarly, age, gender, father's education, having pets, and exposure to mosquito coils were independently associated with lung function parameters.

## Discussion

In this cross-sectional study of 5-7-year-old children without apparent lung disease, thinness was independently associated with reduced FVC and FEV<sub>1</sub>. Comparably, a retrospective study of 327 children reported a lower percent predicted FVC and vital capacity (VC) in the underweight group (BMI <5th percentile) compared to the obese group [12]. Another study among Korean adults reported decreased lung functions (FEV<sub>1</sub> and FVC) with low BMI (<18.5kg/m<sup>2</sup>) [9]. In thin individuals, reduced lung functions may be attributed to low muscle mass in

Table 3. Multivariate regression analysis for predictors of FEV<sub>1</sub> and FVC.

|                               | FVC     |              |        |         | FEV <sub>1</sub> |              |        |         |
|-------------------------------|---------|--------------|--------|---------|------------------|--------------|--------|---------|
|                               | B       | 95% CI for B |        | P value | B                | 95% CI for B |        | P value |
| Age                           | 0.01    | 0.003        | 0.007  | <0.001  | 0.01             | 0.004        | 0.008  | <0.001  |
| Gender (female)               | -0.06   | -0.090       | -0.024 | 0.001   | -0.06            | -0.090       | -0.028 | <0.001  |
| Father's education            |         |              |        |         |                  |              |        |         |
| Secondary/post- secondary     | 1 (ref) |              |        |         |                  |              |        |         |
| Less than secondary           | -0.04   | -0.080       | -0.002 | 0.04    | -0.04            | -0.080       | -0.008 | 0.02    |
| Income                        |         |              |        |         |                  |              |        |         |
| High                          | 1 (ref) |              |        |         |                  |              |        |         |
| Low                           | -0.25   | -0.287       | -0.214 | <0.001  | -0.23            | -0.259       | -0.192 | <0.001  |
| BMI                           |         |              |        |         |                  |              |        |         |
| Normal/overweight/obese       | 1 (ref) |              |        |         |                  |              |        |         |
| Thinness                      | -0.04   | -0.077       | -0.012 | 0.008   | -0.05            | -0.075       | -0.014 | 0.004   |
| Mosquito coil smoke (exposed) | -0.05   | -0.084       | -0.018 | 0.003   | -0.05            | -0.080       | -0.019 | 0.002   |
| Having pets                   | -0.04   | -0.079       | -0.008 | 0.02    | -0.04            | -0.075       | -0.009 | 0.01    |

Model for FVC: F 6,283 = 71.7;  $p < 0.001$ ;  $R^2 = 0.61$ , Model for FEV<sub>1</sub>: F 6,282 = 68.9;  $p < 0.001$ ;  $R^2 = 0.60$ . Abbreviations: BMI-Body mass index, CI-Confidence interval, FEV<sub>1</sub>-Forced expiratory volume in the first second, FVC: Forced vital capacity.

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the abdomen, diaphragm, and chest wall [17]. Supporting this notion, a previous study among Korean adults reported low FVC and FEV<sub>1</sub> among thin individuals with reduced muscle mass [18].

We observed that overweight/obese children had higher FVC and FEV<sub>1</sub> than normal-weight children, even though the difference was insignificant. In a previous Sri Lankan study of 8–16 years old, children with obesity/overweight had significantly higher lung functions (FVC, FEV<sub>1</sub>, and PEF<sub>R</sub>) than normal-weight children [14]. Nevertheless, the opposite had been described in several other studies, in which obesity negatively affected lung functions [12, 19]. Essentially, BMI indicates weight in relation to height. It does not distinguish fat mass from fat-free mass [20]. Therefore, body composition may vary among populations with the same BMI [21]. It explains the basis for the differences between the above studies as variable lung functions (FVC and FEV<sub>1</sub>) are expected with different body compositions.

As expected, the gender difference in FVC and FEV<sub>1</sub> were observed. However, a BMI-gender interaction on lung functions was not observed. Previous studies have reported different results. Tantisira et al. observed a stronger interaction between BMI and lung function in girls compared to boys [22]. It was readily explained by differential airways size. Girls have larger airways in relation to lung size throughout childhood than boys, a phenomenon that begins to reverse in adolescence [23]. The exact reasons for the absence of BMI-gender interaction in our cohort cannot be clearly explained.

Indoor risk factors such as exposure to passive smoking and mosquito coils and having a pet were negatively associated with FEV<sub>1</sub> and FVC. In a Mexican study, FEV<sub>1</sub> and FVC in children between 8–17 years exposed to passive smoking were 6.8 and 14.1 ml lower than those of non-exposed children [24]. These values decreased with increasing smokers at home [24]. In Asian countries, burning mosquito coils is a common indoor environmental pollutant [25]. It releases continuous smoke, and the particle amounts and sizes of the smoke are comparable to other indoor sources of smoke [25]. Liu et al. reported that burning one mosquito coil would produce the same particulate amount of matter as burning 75–137 cigarettes [26].

Animals are the third leading cause of allergic asthma, after mites and pollens [27]. Therefore, it is likely that having a pet may impact lung functions.

Socioeconomic indices (income and educational level) were significant predictors of lung functions. Largely, low SES is linked to poor housing, inadequate ventilation, and adult smokers [28, 29]. Exposure to those risk factors and increased airway inflammation among low SES would explain the reduced lung functions.

In the present study, PEF<sub>R</sub> had a weak correlation with BMI. Different studies have reported varying associations between BMI and PEF<sub>R</sub>; many have reported a reduction in PEF<sub>R</sub> in obesity [9]. The interplay between many airway factors (airway size), effort, BMI, and age is thought to be very complex; thus, it is not surprising to have varying results [30]. The difference in mean FEV<sub>1</sub>/FVC was insignificant between the BMI groups. The lack of association is probably due to each parameter (viz. FVC and FEV<sub>1</sub>) getting affected at a similar rate so that the ratio remains unaffected. Yet, several other studies have observed a significant negative relationship with low FEV<sub>1</sub>/FVC ratio among obese subjects [11, 12, 19].

The findings of this study should be interpreted with the following limitations. First, a longitudinal study would have evaluated the lung function with changes in BMI over a period than a one-time cross-sectional assessment. Secondly, not considering the cluster effect in the sample size calculation was a limitation. Thirdly, we could not gather data on geographical area, vaccination status, and gestational age at birth. Furthermore, since recurrent respiratory tract infections were an exclusion criterion, we could not assess the relationship between recurrent respiratory tract infections and BMI. In recurrent respiratory infections, low lung functions could be related to malnutrition. Finally, we evaluated the body composition with BMI, not the muscle mass or fat-free mass. Therefore, further studies are particularly important to assess the impact of muscle mass on lung function in resource-poor settings. Finally, despite all these limitations, including a healthy population of 5–7-year-old children, screening through a well-designed questionnaire and a clinical examination was a strength of our study.

## Conclusions

Lower lung functions (FVC and FEV<sub>1</sub>) are associated with thinness more than normal/overweight/obese dimensions among children without apparent lung disease. Thus, it informs that appropriate nutritional intervention may play a role in improving respiratory health. Further, this study emphasizes the relationship of selected sociodemographic and indoor risk factors on lung function parameters in this population. Further investigation of other potential factors such as recurrent respiratory infections and genetic composition will help better understand lung function associated with thinness.

## Supporting information

**S1 File. Contains a supporting table and the study questionnaire.**  
(DOCX)

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## Author Contributions

**Conceptualization:** Guwani Liyanage.



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**Investigation:** Niroshani Senevirathna.

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**Project administration:** Niroshani Senevirathna, Lakmali Amarasiri, Guwani Liyanage.

**Supervision:** Lakmali Amarasiri, Guwani Liyanage.

**Writing – original draft:** Niroshani Senevirathna, Guwani Liyanage.

**Writing – review & editing:** Lakmali Amarasiri, Guwani Liyanage.

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