# Novel urinary biomarkers and their association with urinary heavy metals in chronic kidney disease of unknown aetiology in Sri Lank: a pilot study

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#### **Abstract**

Introduction Chronic kidney disease of unknown etiology (CKDu) has emerged as a significant public health problem in Sri Lanka. The role of environmental exposure to cadmium and arsenic in the aetiology of CKDu is still unclear. Identification of a panel of novel urinary biomarkers would be invaluable in the study of toxin mediated damage postulated to be the aetiology of CKDu.

Objectives The aims of this study were to evaluate the profile of novel urinary biomarkers in CKDu patients and identify any association with environmental exposure to heavy metals.

Methods Thirty seven randomly selected CKDu patients attending a renal clinic in the North Central Province and two control groups namely a farmer group (n=39) and a non-farmer group (n=40) from a non-endemic area were included in this comparative cross sectional study. Urine samples were analyzed for heavy metals and five urinary biomarkers.

Results CKDu patients had significantly elevated urinary levels of fibrinogen (198.2 ng/mg creatinine p<0.001), clusterin (3479 ng/mg creatinine p<0.001), cystatin-C (5124.8 ng/mg creatinine p<0.001) and β2-microglobulin (9913.4 ng/mg creatinine p<0.001) compared to the control groups. Fibrinogen and β2-microglobulin were the best to discriminate CKDu patients from normal individuals with the receiver operator areas under the curve being 0.867 and 0.853, respectively. Urinary fibrinogen and KIM-1 levels correlated positively with urinary arsenic levels. KIM-1 levels correlated positively with urinary mercury and lead levels but no correlation was seen with urinary cadmium levels.

Conclusions Fibrinogen and β2-microglobulin have the potential of being a screening tool for detection of CKDu and may aid the early diagnosis of toxin mediated tubular injury in CKDu. Their usefulness need to be further validated in a larger epidemiological study of patients with early stages of CKDu.

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#### Introduction

Chronic kidney disease of unknown aetiology (CKDu), which emerged at the beginning of this century, has reached epidemic proportions in the North Central Dry Zone of Sri Lanka [1,2]. The disease burden is most prominent in the North Central Province and has extended to two adjacent provinces, namely, Uva and North Western provinces. The disease is not due to conventional risk factors such as diabetes, hypertension, chronic glomerulonephritis. The diagnosis of CKDu is based on exclusion of known aetiological factors of chronic kidney disease and presence of tubulo-interstitial pathology on renal biopsy [3,4].

The population in the North Central Province is around 2.5 million according to the last census conducted in 2012 and farming is the main livelihood of the majority. CKDu mainly affects farming communities with poor socioeconomic background [5]. The estimated prevalence of CKDu in a community based study was reported as 16.9% in women and 12.9% in men, but the severe stages were seen more frequently in men, leading to a higher mortality and morbidity in men [5,6].

Based on CKDu's clinical profile and risk factors, it was postulated that environmental toxins which affect vulnerable groups in a specific geographical area contribute to the onset and progression of the disease [7]. Several investigators have explored the aetiological contribution of exposure to various environmental toxins such as pesticides, microbial toxins and heavy metals [6,8-10]. The role of chronic exposure to cadmium (Cd) and arsenic (As) through environmental contamination of agrochemicals remains a highly debated topic among scientists [6,11-12]. The evidence regarding exposure to heavy metals as measured by levels of urinary excretion in patients and in controls and environmental samples is inconsistent [6,11-13].

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There are limitations in using conventional screening tools such as serum creatinine and urinary albumin in the early diagnosis of renal damage. A new focus of interest are novel biomarkers in kidney injury. A number of promising tests have been developed which can be used for detection of early acute kidney injury in various clinical settings [14-18]. The value of novel biomarkers in CKDu diagnosis has been evaluated recently [19-21]. Excretion patterns of some biomarkers may be useful in identifying nephrotoxicant-induced injury in the CKDu population. Kidney injury molecule-1 (KIM-1), a trans membrane glycoprotein and N-acetyl-beta-D-glucosaminidase (NAG), another tubular dysfunction marker, are useful biomarkers of early stages of cadmium (Cd) induced proximal tubule injury [22,23].

The aim of this pilot study was to identify the novel urinary biomarker profile in CKDu patients and to evaluate their association with urinary excretion of heavy metals. Fibrinogen, β2-microglobulin, cystatin-C (Cys-C), clusterin and KIM-1 are the urinary biomarkers selected for this study.

#### Methods

A comparative cross sectional study was carried out on 37 patients with CKDu attending the renal clinic at Medawachchiya Hospital in the North Central Province and two control groups. Thirty seven consecutive patients who fulfilled the diagnostic criteria for suspected CKDu and had a serum creatinine level ≥2 mg/dl were recruited [3]. A farmer group (n=39) from a non-CKDu endemic area from the Western Province was selected as a control group in order to achieve similar occupational exposure. To evaluate any environmental exposure specific to farmers, a non-farmer control group (n=40) was selected from among residents of the same area who volunteered. The residents

were identified in consultation with the Grama Niladhari of the area. Inclusion criteria for both control groups were absence of urinary albumin, normal serum creatinine levels and absence of CKD risk factors. All subjects were residents and lived in the respective provinces for at least ten years. A control group from the endemic area was not considered because some subjects in whom conventional screening tests are negative, may have early tubular damage as a result of exposure to the same environmental nephrotoxins. These individuals may have elevated levels of urinary biomarkers.

Ethical approval for the study was obtained from Harvard Institutional Review Board (IRB)-Brigham and Women's Hospital, Office of Human Research Administration-Harvard School of Public Health, Boston, USA, and Ethical Review Committee, Faculty of Medical Sciences, University of Sri Jayewardenepura, Sri Lanka. Written informed consent was obtained from all participants.

An interviewer-administered questionnaire was used to collect information about demographic data, source of drinking water, cooking utensils and use of traditional medicines. Five ml of venous blood was drawn and serum creatinine was assayed in a reference laboratory in Sri Lanka. Spot urine samples were collected and 10 ml was transferred into a trace metal grade 15ml falcon tube for metal and urinary biomarker analysis. Another 2ml of urine was transferred to a falcon tube containing 30µl of 2% sulfamic acid for mercury analysis. Urine samples were kept at -40 celsius at the time of collection and within five hours was transferred to a -800C freezer until transportation to the laboratory in USA. The coded urine samples in dry ice were couriered by air to the Harvard School of Public Health Trace Metals Analysis Laboratory, Boston, USA.

Table 1. Demographic characteristics of CKDu patients and the two control groups†.

	CKDu Patients (n=37)	Farmer Controls (n=39)	Non-farmer controls (n=40)
Age (mean, SD)	57.1 (92)	55.1 (11.5)	49.1 (6.3)
BMI (mean SD)	21.0 (3.1)	20.2 (3.9)	23.0 (3.7)
Sex			
Male (N,%)	27 (73)	36 (92.3)	36 (90)
Female (N, %)	10 (27)	3 (7.7)	4 (10)
Serum creatinine (mg/dl)	3.0 (1.18)	1.0 (0.04)**	1.08 (0.10)**
eGFR (mean, SD)	23.5(1.17)	84.3 (5.2)**	76.1 (8.3)**
Family member with CKDu (%)	13 (35.1)	2 (5.1)	0 (0%)
Use of traditional medicine	27%	25.6%	7.5%
Smoking	37.8%	30.8%	17.5%
Consumption of pond/ lake fish	91.9%	33.3%	45.0%

<sup>†</sup> Only variables in which there was a difference between groups are tabulated.

<sup>#\*\*</sup> p<0.001

Table 2. Urinary biomarkers by group

		CKDu Patients (n=37)	Farmer Con- trols (n=39)	Non-farmer controls (n=40)	Significance
Fibrinogen ng/mg creatinine	Mean (SD)	198.2 (478.8)	2.97 (5.48)	6.87 (4.93)	χ2=56.866
	Median	20.00	1.73	5.52	(p<0.001)
	(IQ range)	(8.98-134.33)	(0.59-3.20)	(3.84-8.32)	
β-2 microglobulin ng/mg creatinine	Mean (SD)	9913.4 (13578)	159.5 (225.1)	174.7 (233.4)	χ2=37.812
	Median	4643.544	55.26	117.58	(p<0.001)
	(IQ range)	(248.52 <b>-</b> 15375.19)	(22.51-234,69)	(44.74-181.86)	
Clusterin ng/mg creatinine	Mean (SD)	3479 (7864.9)	582.6 (666.2)	1178 (1095)	χ2=7.943
	Median	371.58	423.46	835.57	(p<0.001)
	(IQ range)	(221.86-1587.10)	(283.21-606.73)	(366.12- 1525.49)	
KIM-1 pg/mg creatinine	Mean (SD)	780.8 (657.2)	499.5 (352.7)	506.7 (280.8)	χ2=5.068
	Median	505.10	412.04	402.67	(p=0.079)
	(IQ range)	(346.37-1007.76)	(210.71-669.50)	(311.28-672.59)	
Cystatin-C ng/mg creatinine	Mean (SD)	5124.8 (9468.3)	105.8 (138.8)	305.8 (346.7)	χ2=19.457
	Median	681.28	74.98	243.46	(p<0.001)
	(IQ range)	(42.75-3650.43)	(56.54-103.35)	(95.75-362.61)	

Table 3. Sensitivity and specificity of urinary biomarkers in identifying CKDu

	Area under the curve (AUC)	Cutoff value	Sensitivity	Specificity
Fibrinogen	0.867	2.58	0.90	0.395
		7.45	0.80	0.816
		10.85	0.70	0.918
β-2 microglobulin	0.853	33.65	0.90	0.303
		168.81	0.80	0.724
		798.23	0.70	0.974
Cystatin C	0.677	23.64	0.90	0.053
		35.60	0.80	0.132
		98.92	0.70	0.487
KIM-1	0.619	260.93	0.90	0.250
		336.46	0.80	0.368
		418.71	0.70	0.526
Clusterin	0.457	108.44	0.90	0.0
		180.60	0.80	0.092
		271.52	0.70	0.224

Table 4. Urinary heavy metal concentrations by group

		CKDu Patients (n=37)	Farmer Controls (n=39)	Non-farmer controls (n=40)	Kruskal Wallis test Significance
Lead (µg/g creatinine)	Mean (SD)	1.20 (0.27)	3.05 (1.26)	0.76 (0.64)	χ2=63.147
	Median	0.99	2.91	0.58	(p<0.001)
	(IQ range)	(0.66-1.63)	(2.04-3.73)	(0.41-0.84)	$\chi 2 = 62.849$
Manganese (μg/g creatinine)	Mean (SD)	4.65 (2.96)	4.31 (2.78)	0.90 (0.51)	(p<0.001)
	Median	3.67	4.06	0.77	$\chi 2 = 28.903$
	(IQ range)	(2.79-5.82)	(2.38-5.95)	(0.54-1.20)	(p<0.001)
Cadmium (µg/g creatinine)	Mean (SD)	0.68 (0.39)	1.32 (1.27)	0.54 (0.31)	50
	Median	0.57	0.91	0.48	$\chi 2 = 0.219$
	(IQ range)	(0.44-0.81)	(0.73-1.69)	(0.32-0.68)	(p=0.896)
Arsenic (µg/g creatinine)	Mean (SD)	58.01 (75.12)	51.44 (45.41)	47.23 (55.36)	
	Median	33.76	33.19	34/11	$\chi 2 = 25.061$
	(IQ range)	(21.25-58.09)	(22.32-56.24)	(22.93-48.18)	(p<0.001)
Mercury(μg/g creatinine)	Mean (SD)	1.45 (1.40)	1.76 (1.15)	0.91 (0.73)	
	Median	1.44	1.46	0.66	
	(IQ range)	(0.98-2.45)	(0.76-2.39)	(0.53-0.99)	

Urinary analyses for Pb, Cd, As and Mn concentrations were performed using external calibration with 5 standards at concentrations ranging from 0 to 10 ng ml-1 using inductively coupled plasma-mass spectrometer equipped with dynamic reaction cell. Mercury assay was performed using the Direct Mercury Analyzer 80 (DMA-80, Milestone Inc., CT). Urinary fibrinogen, clusterin, cystatin-C and β2-microglobulin were measured using a commercially available multiplexed luminex based kit from Millipore (Billerica, MA). KIM-1 was measured by using Magnetic bead-based multiplex assay for the Luminex®.

#### Statistical analysis

Categorical variables were presented as percentages and continuous variables were tabulated as means with standard deviations, medians and ranges for comparison. Urinary biomarkers and metal concentrations are presented as normalized for urine creatinine. The distribution of the original urinary metal and urinary metal concentrations normalized for creatinine showed a right skewed pattern. SPSS version 20 software was used for data analysis. Kruskall-Wallis test was performed to compare the significance between the three groups. Receiver-operator characteristic (ROC) curves were plotted to determine the diagnostic accuracy of individual biomarkers. Spearman correlation coefficients between urinary biomarkers and urinary heavy metals were examined to look for correlations.

#### Results

Demographic characteristics of CKDu patients, farmer controls and non-farmer controls are shown in Table 1. Modified diet in renal disease (MDRD) equation was used to calculate eGFR. The majority of CKDu patients (67.5%) were farmers and others were involved in part time farming activities. Thirteen (35.1%) patients had at least one other family member diagnosed with CKDu. Use of traditional medicine, smoking, and consumption of lake or pond fish were higher among CKDu patients. More than two-thirds of the subjects in all three groups (range 67% - 80%) used aluminum utensils for cooking.

### Urinary biomarkers

Twenty-three (62.1%) CKDu patients had albuminuria; 11 of them had urinary albumin in the range of 30-300 mg/g creatinine and the other 12 had urinary albumin >300 mg/g creatinine. Urinary fibrinogen, clusterin, Cys-C and β2-microglobulin were significantly elevated in CKDu patients compared to the two control groups (Table 2). The comparison of receiver operator characteristics of biomarker levels revealed that fibrinogen and β2-microglobulin performed the best in discriminating CKDu patients and both control groups taken together with the areas under the curve being 0.867 and 0.853, respectively (Table 3 and Figure 1). For Cys-C, KIM-1 and clusterin, the areas under the curve were 0.677, 0.619 and 0.457, respectively (Table 3). In order to determine suitable biomarker values that best differentiates CKDu from

Table 5, Correlation between urinary biomarkers and urinary heavy metals

	Lead	Manganese	Cadmium	Arsenic	Mercury	
Spearman correlation coefficients						
Fibrinogen	-0.353 (p<0.001)	0.055 (p=0.564)	-0.106 (p=0.264)	0.208 (p=0.027)	0.120 (p=0.206)	
β-2 Microglob- ulin	-0.153 (p=0.108)	0.186 (p=0.051)	0.036 (p=0.704)	0.123 (p=0.195)	0.329 (p=<0.001)	
Clusterin	-0.121 (p=0.202)	-0.103 (p=0.280)	0.060 (p=0.527)	0.176 (p=0.063)	0.062 (p=0.572)	
KIM-1	0.032 (p=0.737)	0.101 (p=0.274)	0.180 (p=0.056)	0.198 (p=0.036)	0.269 (p=0.004)	
Cystatin-C	-0.274 (p=0.003)	-0.063 (p=0.514)	-0.096 (p=0.313)	0.175 (p=0.053)	-0.017 (p=0.860)	

healthy individuals, the cutoff values and the corresponding specificity for a given sensitivity was calculated using the ROC curve (Table 3). The best performing biomarkers were fibrinogen and  $\beta$ 2-microglobulin as indicated by the area under the curve. The specificity of Cys-C, KIM-1 and clusterin were generally below 50% when the sensitivity was in the range of 70%- 90%. At a sensitivity of 80%, the specificity was 81.6% for fibrinogen and 72.4% for  $\beta$ 2-microglobulin. At a sensitivity of 90% the specificity was low for both these biomarkers.

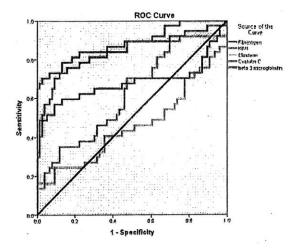


Figure 1. Receiver operator characteristics (ROC) curve of biomarkers

## Heavy Metals

There were significant differences in urinary Pb, Mn, Cd and Hg levels between the three groups (Table 4). Urinary Pb levels were significantly higher in farmer controls from the non-endemic area compared CKDu patients and non-farmer controls. Urinary Mn and Hg levels in CKDu patients and in the farmer control group were significantly higher than in the non-farmer controls. Urinary Cd levels were significantly higher in farmer controls compared to CKDu patients and non-farmer

controls. There was no significant difference in urinary As levels between the 3 groups.

The correlation between urinary biomarker levels and urinary metal concentrations are shown in Table 5. Urinary fibrinogen correlated positively with urinary As and urinary Pb levels. β2-microglobulin correlated positively with urinary Hg levels and KIM-1 correlated with urinary As and urinary Hg levels. KIM-1 and beta 2 microglobulin were not correlated with urinary Cd levels.

#### Discussion

Urinary biomarkers are now considered potential markers for early detection of renal injury as well as a valuable research tool in evaluating environmental induced toxin damage. Urinary albumin and serum creatinine are the investigations currently available in Sri Lanka to screen for CKDu. This study indicates that urinary albumin is not a sensitive marker for early detection of CKDu as albuminuria was detected in only 62.1% of CKDu patients and only 32.4% had albumin-creatinine ratio (ACR) above 300 mg/g creatinine, the level which can be detected by the albustix method.

Urinary biomarkers of fibrinogen, clusterin, Cys-C and  $\beta$ 2-microglobulin were significantly elevated in CKDu patients compared to the two control groups. The KIM-I was of borderline significance (p=0.079). Fibrinogen and  $\beta$ -2-microglobulin were the most significant in discriminating known CKDu patients from the control group with normal kidney function.

Elevated urinary biomarkers in CKDu patients have been reported in previous studies. Urinary alphalmicroglobulin, are elevated in those with early stages of CKDu compared to unaffected controls whereas NAG is elevated only among patients with stage 5 CKDu [19]. Another study reported that the mean urinary β2-microglobulin was significantly higher among CKDu patients compared to controls [20]. De Silva et al. demonstrated that urinary KIM-1 and NGAL are capable of detecting early renal damage in the absence of albuminuria among farming communities in Southern Sri Lanka [21]. Our findings indicate that fibrinogen and β2-microglobulin best distinguishe between diagnosed CKDu patients and persons with normal kidney function.

Cys-C, KIM-1 and clusterin had very low specificities for given sensitivities even when comparing diagnosed CKDu patients in stage 3 or above with persons who had normal kidney function. Hence it is likely that these three biomarkers do not have the potential of being good screening tests. We observed a high false positive rate and this might be even higher in a sample with early stages of CKD. As this study was carried out in patients with established renal dysfunction, it is difficult to know the levels of these two biomarkers in patients with early CKDu. A study with a larger sample is necessary to explore this issue and in such a sample the cut off values may also be different.

This study was conducted as a pilot study and we did not have any data about the levels of these urinary markers. Therefore, we were unable to calculate a sample size a priori. Post hoc analysis shows that the study had a power of 83.9% to detect the smallest difference in fibrinogen levels of of 3.5 ng/mg creatinine.

Several authors have suggested that exposure to Cd and As through agrochemicals are likely risk factors of CKDu [11, 12, 22]. In the present study, we used urinary Cd as an indicator of chronic exposure to, and the total body burden of, cadmium. The farmer control group had significantly higher urinary Cd levels (0.91 μg/g creatinine) than the CKDu group (0.572 µg/g creatinine) and the nonfarmer controls (0.48 μg/g creatinine) indicating possible occupational exposure to Cd in the farmer population. Studies have shown that urinary Cd levels in unexposed populations are normally below 0.5µg/g creatinine which is similar to that of the CKDu and non-farmer control groups in our study [23]. The critical urinary Cd concentration that is associated with the onset of renal injury has been found to be approximately 2 to 10µg/g creatinine, which corresponds to a renal cortical Cd concentration of approximately 150 to 200µg/g tissue [24]. In more recent studies conducted in the general population, urinary Cd levels below the accepted threshold of 2µg/g creatinine have been shown to be associated with kidney disease and albuminuria [25]. Haddam et al. concluded that these associations could be due to influence of confounders such as diuresis, smoking or co-excretion with urinary proteins rather than direct effect of Cd [26]. The mean UCd concentration in CKDu patients and controls in our study is even below these threshold limits. However, the impaired renal function can have some effect on the excretion of Cd as shown in another study conducted on urine samples from patients in CKDu stages 1-4, and from their relatives and Japanese controls. This study showed a decline of mean UCd according to CKDu stage (Stage 1 showed 0.84 µg/g creatinine and stage 4 showed 0.44 µg/g creatinine) [19]. The mean UCd levels in cases (0.84 and 0.44 µg/g creatinine) and relative controls (0.49 µg/g creatinine) in the same study had remarkably lower cadmium excretion levels in urine compared with the Japanese controls (1.79 µg/g Cr) indicating low levels of exposure in endemic areas [19].

UAs levels reflect recent exposure. In our study, there was no significant difference between the mean UAs concentrations between the three groups, but the levels

were higher than the US population data indicating possible exposure. Both seafood and rice can contribute to elevated UAs levels [27-30]. In the present study, we did not gather information about the sea fish intake of the participants. Rice cultivators can accumulate both inorganic and organic forms of arsenic. The proportion of inorganic arsenic in rice grain differs according to the variety [29,30]. Urinary Pb levels were significantly higher in farmer controls than CKDu patients and non-farmer controls. Urinary Mn and Hg levels in both farmer groups were significantly higher than in the non-farmer controls. Factors such as sea fish consumption, which is a common source of Hg, should be investigated in future studies.

There is interest in identifying sensitive biomarkers to monitor heavy metal exposure as early intervention can prevent long-term renal effects. We found positive correlations between fibrinogen and UPb and UAs; β2microglobulin with UHg and KIM-1 with UAs. There was no correlation between any of the biomarkers and UCd. Moriguchi et al. described increased urinary excretion of NAG, retinol binding protein (RBP), al- microglobulin and \beta2- microglobulin, as earliest effects of Cd induced renal damage [29]. However, our study did not find any correlation between KIM-1 and β2-microglobulin and urinary Cd levels. In lead exposed workers, urinary excretion of KIM-1 was shown to correlate with blood lead levels better than other renal injury biomarkers, including NGAL, α1-microglobulin, and β2-microglobulin [30]. Urinary biomarkers have not been used to evaluate arsenic induced nephrotoxicity.

#### Limitations

Patients who attend renal clinics in endemic regions are in advanced stages of CKDu. The patients who were included in this study were in CKDu stage 3 and above. Urinary heavy metal excretion may have been affected to some degree due to the poor renal function. We propose to include patients with CKDu stages 1 and 2 in community screening in our next study.

#### Conclusion

Albuminuria is not a sensitive test for CKDu screening. Urinary excretion of fibrinogen and β2-microglobulin should be considered as potential tools for screening for CKDu in Sri Lanka. Fibrinogen, β2-microglobulin and KIM-1 would be valuable markers in identifying toxin mediated early tubular damage. These findings need to be validated in a larger study, which includes patients with early CKDu.

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## Conflicts of interest

Authors declare that there are no conflicts of interest.

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